

\*IN DATE\*

DUNS: 10-870-6425	DATE PRINTED	SUMMARY
CLARIANT CORPORATION	DEC 12 2003	RATING 5A3
(FOREIGN PARENT IS CLARIANT AG, MUTTENZ, SWITZERLAND)	MFG INDUSTRIAL	STARTED 1995
4000 MONROE RD	DYES & CHEMICALS &	SALES \$882,746,000
AND BRANCH(ES) OR DIVISION(S)	MASTERBATCH COLOR	WORTH \$153,297,000
CHARLOTTE NC 28205	CONCENTRATES	EMPLOYS 2,500(300 HERE)
TEL: 704 331-7000	SIC NOS.	HISTORY CLEAR
	28 69 28 65 28 19	FINANCING SECURED
	36 99	

CHIEF EXECUTIVE: KENNETH GOLDER, PRES

SPECIAL  
EVENTS

01/22/03 ANNOUNCED SALE OF ASSET: According to published reports on December 9, 2002, Clariant Corporation, Charlotte, NC, has signed an agreement to sell its North American sodium hydrosulfite business to the Canadian firm, Chemtrade Logistics Inc of Ontario, at a price of \$62 million. The sodium hydrosulfite business is part of the Paper business unit within Clariant's Leather and Paper Chemicals division. The transaction, which still requires the approval of US and Canadian antitrust authorities, should be completed by the end of 2002.

\* \* \* CUSTOMER SERVICE \* \* \*

If you have questions about this report, please call our Customer Resource Center at 1-800-234-3867 from anywhere within the U.S. If you are outside the U.S., contact your local D&B office.

\*\*\* Additional Decision Support Available \*\*\*

Additional D&B products, monitoring services and specialized investigations are available to help you evaluate this company or its industry. Call Dun & Bradstreet's Customer Resource Center at 1-800-234-3867 from anywhere within the U.S. or visit our website at [www.dnb.com](http://www.dnb.com).

\* \* \* SUMMARY ANALYSIS \* \* \*

The Summary Analysis section reflects information in D&B's file as of December 8, 2003.

RATING SUMMARY . . . .

The Rating was changed on January 22, 2003 because the company submitted a current financial statement. The "5A" portion of the Rating (the Rating Classification) indicates that the company has a worth in excess

of \$50 million. The "3" on the right (Composite Credit Appraisal) indicates an overall "fair" credit appraisal. This credit appraisal was assigned because of D&B's policy not to rate a subsidiary higher than its parent.

Below is an overview of the company's D&B Rating(s) since 07/21/95:

RATING	DATE APPLIED
5A3	01/22/03
1R3	11/30/01
1R4	05/18/00
1R3	01/15/00
1R4	11/23/99
1R3	10/23/99
1R4	01/28/99
1R3	10/17/96
--	07/21/95

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\* \* \* PAYMENT SUMMARY \* \* \*

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The Payment Summary section reflects payment information in D&B's file as of the date of this report.

The PAYDEX for this company is 69.

This PAYDEX score indicates that payments to suppliers average 16 days beyond terms, weighted by dollar amounts. When dollar amounts are not considered, approximately 76% of the company's payments are within terms.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	TOTAL RCV'D	TOTAL DOLLAR AMOUNTS	LARGEST HIGH CREDIT	% W/IN TERMS	DAYS SLOW			
	#	\$	\$	%	<31	30	61-90	91+
Total in D&B's file	691	16,948,000	2,000,000					
Top 10 Industries:								
1 Trucking non-local	87	678,500	100,000	48	31	3	6	12
2 Telephone communictns	37	475,200	200,000	56	22	2	4	16
3 Nonclassified	29	487,950	100,000	84	14	-	-	2
4 Mfg inorganic chemcls	17	2,476,000	900,000	37	44	18	-	1
5 Mfg organic chemicals	14	2,030,100	700,000	50	50	-	-	-
6 Whol chemicals	10	1,357,850	600,000	23	74	-	3	-
7 Mfg organic fibers	8	677,500	200,000	55	44	1	-	-
8 Mfg paint/'allied prdt	4	2,010,100	2,000,000	50	50	-	-	-
9 Custom compounding	4	604,500	600,000	-	50	50	-	-
10 Mfg scap/detergents	1	1,000,000	1,000,000	100	-	-	-	-
11 OTHER INDUSTRIES	457	4,783,300	300,000	71	23	5	-	1

Other Payment Categories:

Cash experiences	1	0	0
Payment record unknown	22	367,000	200,000
Unfavorable comments	0	0	0
Placed for collection			

with D&B	0	0
other	0	N/A

The highest "Now Owes" on file is \$1,000,000  
The highest "Past Due" on file is \$500,000

Dun & Bradstreet has 691 payment experiences in its file for this company. For your convenience, we have displayed 80 representative experiences in the PAYMENTS section.

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PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

Antic - Anticipated (Payments received prior to date of invoice)  
Disc - Discounted (Payments received within trade discount period)  
Ppt - Prompt (Payments received within terms granted)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
12/03	Ppt	7500	-0-	-0-	N30	2-3 Mos
	Ppt	750	-0-	-0-	N30	6-12 Mos
	Slow 30	7500	-0-	-0-	N30	2-3 Mos
11/03	Ppt	200000	95000	25000	N45	1 Mo
	Ppt	90000	50000	-0-		1 Mo
	Ppt	90000	15000	-0-		1 Mo
	Ppt	30000	5000	-0-	N45	1 Mo
	Ppt	25000	25000	-0-	N45	1 Mo
	Ppt	25000	10000	-0-		1 Mo
	Ppt	20000	20000	-0-		1 Mo
	Ppt	20000	15000	-0-		1 Mo
	Ppt	15000	10000	-0-		1 Mo
	Ppt	10000	10000	-0-		1 Mo
	Ppt	10000	-0-	-0-	N30	6-12 Mos
	Ppt	10000	-0-	-0-		6-12 Mos
	Ppt	7500	5000	750	N30	1 Mo
	Ppt	5000	2500	-0-		1 Mo
	Ppt	5000	2500	-0-		1 Mo
	Ppt	5000	2500	-0-	N30	1 Mo
	Ppt	2500	-0-	-0-		6-12 Mos
	Ppt	2500	2500	-0-		1 Mo
	Ppt	2500	-0-	-0-		2-3 Mos
	Ppt	2500	-0-	-0-	N30	6-12 Mos
	Ppt	2500	-0-	-0-		4-5 Mos
	Ppt	2500	1000	-0-		1 Mo
	Ppt	1000	-0-	-0-		2-3 Mos
	Ppt	1000	1000	-0-	N30	1 Mo
	Ppt	1000	1000	-0-		1 Mo
	Ppt	1000	-0-	-0-	N30	6-12 Mos
	Ppt	1000	-0-	-0-	N30	4-5 Mos
	Ppt	1000	-0-	-0-	N30	2-3 Mos
	Ppt	1000	250	250	N30	1 Mo
	Ppt	1000	250	-0-		1 Mo
	Ppt	1000	-0-	-0-		4-5 Mos
	Ppt	750	-0-	-0-		2-3 Mos
	Ppt	750	-0-	-0-		6-12 Mos
	Ppt	750	500	-0-	N30	1 Mo
	Ppt	750	750	-0-		1 Mo
	Ppt	750	-0-	-0-		6-12 Mos
	Ppt	500	100	-0-	N30	1 Mo
	Ppt	500	250	-0-		1 Mo
	Ppt	500	500	-0-		1 Mo



Ppt	250	100	-0-		4-5 Mos
Ppt	250	-0-	-0-		2-3 Mos
Ppt	250	50	-0-	N30	1 Mo
Ppt	250	-0-	-0-	N30	6-12 Mos
Ppt	50	50	-0-		1 Mo
Lease agreement					
Ppt	50	-0-	-0-	N30	1 Mo
Ppt	50	-0-	-0-		1 Mo
Lease agreement					
Ppt-Slow 15	25000	20000	2500		1 Mo
Ppt-Slow 15	1000	-0-	-0-	N30	1 Mo
Ppt-Slow 20	50	-0-	-0-	N30	6-12 Mos
Ppt-Slow 30	45000	35000	20000		1 Mo
Ppt-Slow 30	10000	750			
Ppt-Slow 30	1000	250	100	N30	1 Mo
Ppt-Slow 30	500	500	250	N30	1 Mo
Ppt-Slow 30	50	-0-	-0-	N30	6-12 Mos
Ppt-Slow 45	500	-0-	-0-		6-12 Mos
Ppt-Slow 60	7500	2500	500		1 Mo
Ppt-Slow 60	7500	750	-0-	N30	1 Mo
Ppt-Slow 60	1000	-0-	-0-		6-12 Mos
Ppt-Slow 60	500	500	500		2-3 Mos
Ppt-Slow 60	250	-0-	-0-	N30	6-12 Mos
Ppt-Slow 90	5000	2500	-0-		1 Mo
Ppt-Slow 90	1000	750	-0-		1 Mo
Slow 5	400000	300000	65000	N45	1 Mo
Slow 10		-0-	-0-		1 Mo
Slow 15	100	-0-	-0-		6-12 Mos
Slow 15	100	-0-	-0-	N30	4-5 Mos
Slow 30	25000	-0-	-0-		2-3 Mos
Slow 15-30	15000	-0-	-0-		2-3 Mos
Slow 30	7500	7500	5000	N30	1 Mo
Slow 45	2500	-0-	-0-	N30	6-12 Mos
Slow 60	750	750	750		2-3 Mos
Slow 120	5000	-0-	-0-		6-12 Mos
(076)	50				4-5 Mos
Satisfactory.					
10/03 Ppt-Slow 30	55000	40000	20000	N30	1 Mo
Ppt-Slow 60	200000	50000	50000		1 Mo
Slow 30-60+	500	500	500		2-3 Mos
Slow 120+	100	100	100		6-12 Mos

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

FINANCE  
01/22/03

	Fiscal
	Dec 31 2002
Curr Assets	516,422,000
Curr Liabs	279,715,000
Current Ratio	1.85
Working Capital	236,707,000
Other Assets	470,202,000
Worth	153,297,000
Sales	882,746,000
Long Term Liab	553,612,000

----- STATEMENT ITEM EXPLANATIONS -----

The complete balance sheet was reviewed in its entirety and the

above highlights were extracted for publication.

There are intangibles which represent goodwill, product rights, patents and trademarks.

The statement includes no deferred credits.

Non-current assets consist of net book value at closing date and group company shareholdings.

Long term liabilities consist of loans from group companies.

CURRENT ASSETS: Consist of inventories, commissions, trade receivables, other receivables, loans to group companies, securities, bonds, notes receivables, cash, prepayments and deferred charges. .

CURRENT LIABILITIES: Consist of commissions, trade payables, other payables, provisions, income tax liabilities, deferred tax provisions, short-term financial loans and accrued liabilities. .

LIQUIDITY: Liquid assets provide adequate coverage of current liabilities.

On JAN 22 2003 Walter Fowlkes, Treasurer, submitted the above figures.

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PUBLIC FILINGS

The following data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

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If it is indicated that there are defendants other than the report subject, the lawsuit may be an action to clear title to property and does not necessarily imply a claim for money against the subject.

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\* \* \* SUIT(S) \* \* \*

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CASE NO.: 03CV001157

PLAINTIFF:	FOX VALLEY KITCHEN SPECILAISTS LTD	STATUS: Pending	
		DATE STATUS ATTAINED:	11/06/2003
DEFENDANT:	CLARIANT CORP	DATE FILED:	11/06/2003
	and OTHERS	LATEST INFO RECEIVED:	11/22/2003
CAUSE:	PROPERTY DAMAGE		
WHERE FILED:	WINNEBAGO COUNTY CIRCUIT COURT, OSHKOSH, WI		

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CASE NO.: 03CV001158

PLAINTIFF:	MARTINEZ, CINDY	STATUS: Pending	
		DATE STATUS ATTAINED:	11/06/2003
DEFENDANT:	CLARIANT CORPORATION	DATE FILED:	11/06/2003
	and OTHERS	LATEST INFO RECEIVED:	11/22/2003
CAUSE:	PROPERTY DAMAGE		
WHERE FILED:	WINNEBAGO COUNTY CIRCUIT COURT, OSHKOSH, WI		

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DOCKET NO.: 00001239

PLAINTIFF:	\$1,693-GOLDBERG MARCHESANO PARTNERS	STATUS: Pending	
		DATE STATUS ATTAINED:	04/18/2000
DEFENDANT:	CLARIANT CORPORATION,	DATE FILED:	04/18/2000
	SOMERVILLE, NJ	LATEST INFO RECEIVED:	05/01/2000
WHERE FILED:	SOMERSET COUNTY SPECIAL CIVIL/SMALL CLAIMS COURT, SOMERVILLE, NJ		

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DOCKET NO.: 94M1132191

SUIT AMOUNT:	\$1,124	STATUS: Judgment entered	
PLAINTIFF:	SW BELL MOBILE SYSTEMS INC	DATE STATUS ATTAINED:	11/22/1994



DEFENDANT: SANDOZ CHEMICALS CORP DATE FILED: 06/02/1994  
WHERE FILED: COOK COUNTY CIRCUIT COURT/1ST LATEST INFO RECEIVED: 11/12/1998  
MUNICIPAL DIVISION, CHICAGO, IL

\*\*\*\*\* LIEN(S) \*\*\*\*\*

CASE NO.: 0344469  
AMOUNT: \$450 STATUS: Open  
TYPE: State Tax DATE STATUS ATTAINED: 08/20/2003  
FILED BY: STATE OF LOUISIANA DATE FILED: 08/20/2003  
AGAINST: CLARIANT CORPORATION, LAFAYETTE, LA LATEST INFO RECEIVED: 11/17/2003  
WHERE FILED: LAFAYETTE PARISH DISTRICT COURT  
LAFAYETTE, LA

\*\*\*\*\* UCC FILING(S) \*\*\*\*\*

COLLATERAL: Accounts receivable  
FILING NO: 20000121947 DATE FILED: 12/18/2000  
TYPE: Original LATEST INFO RECEIVED: 01/16/2001  
SEC. PARTY: CLARIANT FINANCE (USA) LLC, FILED WITH: SECRETARY OF  
CHARLOTTE, NC STATE/UCC DIVISION,  
ASSIGNEE: CITICORP NORTH AMERICA, INC., AS NC  
AGENT, HARRISON, NY  
DEBTOR: CLARIANT CORPORATION

This data is for information purposes only. Certification can only be obtained through the Department of the North Carolina Secretary of State.

COLLATERAL: Leased Inventory and proceeds - Leased Communications equipment and proceeds  
FILING NO: 1897877 DATE FILED: 04/05/1999  
TYPE: Original LATEST INFO RECEIVED: 04/27/1999  
SEC. PARTY: NEWCOURT COMMUNICATIONS FINANCE CORPORATION, PARSIPPANY, NJ FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
DEBTOR: CLARIANT CORPORATION NJ

COLLATERAL: All Equipment including proceeds and products  
FILING NO: 714503 DATE FILED: 06/28/2000  
TYPE: Original LATEST INFO RECEIVED: 07/17/2000  
SEC. PARTY: XEROX CORPORATION, PARK RIDGE, IL FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
DEBTOR: CLARIANT CORP, COVENTRY, RI RI

COLLATERAL: Equipment and proceeds  
FILING NO: 725431 DATE FILED: 03/02/2001  
TYPE: Original LATEST INFO RECEIVED: 03/16/2001  
SEC. PARTY: ASSOCIATES LEASING, INC., IRVING TX FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
DEBTOR: CLARIANT CORPORATION, COVENTRY, RI RI

COLLATERAL: Equipment and proceeds  
FILING NO: 199879438 DATE FILED: 10/26/1998  
TYPE: Original LATEST INFO RECEIVED: 03/02/1999  
SEC. PARTY: CATERPILLAR FINANCIAL SERVICES CORPORATION, MARIETTA, GA FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
DEBTOR: CLARIANT CORPORATION NC  
and OTHERS

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COLLATERAL: Equipment and proceeds

FILING NO: 199879428

TYPE: Original

SEC. PARTY: CATERPILLAR FINANCIAL SERVICES  
CORPORATION, MARIETTA, GA

DEBTOR: CLARIANT CORPORATION

DATE FILED: 10/26/1998

LATEST INFO RECEIVED: 03/02/1999

FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
NC

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COLLATERAL: Equipment and products

FILING NO: 1554990

TYPE: Original

SEC. PARTY: CITICORP DEL LEASE INC, HARRISON  
NY

DEBTOR: CLARIANT CORPORATION

DATE FILED: 03/04/1998

LATEST INFO RECEIVED: 06/05/1998

FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
NC

This data is for information purposes only. Certification can only be obtained through the Department of the North Carolina Secretary of State.

COLLATERAL: Equipment and products

FILING NO: 980630228

TYPE: Original

SEC. PARTY: CITICORP DEL LEASE, INC,  
HARRISON, NY

DEBTOR: CLARIANT CORP

DATE FILED: 03/04/1998

LATEST INFO RECEIVED: 03/20/1998

FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
WA

COLLATERAL: Equipment

FILING NO: 005325919

TYPE: Original

SEC. PARTY: INTERNATIONAL MATERIAL CONTROL  
SYSTEMS, INC., HOLLAND, MI

DEBTOR: CLARIANT CORP., MCHENRY, IL

DATE FILED: 05/29/2002

LATEST INFO RECEIVED: 06/10/2002

FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
IL

COLLATERAL: Business machinery/equipment

FILING NO: 20888664

TYPE: Original

SEC. PARTY: MINOLTA BUSINESS SYSTEMS, PARK  
RIDGE, NJ

DEBTOR: CLARIANT CORP, SOMERVILLE, NJ

DATE FILED: 02/22/2002

LATEST INFO RECEIVED: 03/25/2002

FILED WITH: SECRETARY OF  
STATE/UCC DIVISION,  
NJ

There are additional UCC's in D&B's file on this company available by contacting 1-800-234-3867.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

HISTORY

01/22/03

KENNETH GOLDER, PRESIDENT

WALTER FOWLKES, TREAS

HEINER MEIER, CFO

CHRISTOPHER BARNARD, GENERAL

COUNSEL & SECT

DIRECTOR(S): THE OFFICER(S)

\*\*\*\*\*  
\* \* \* CORPORATE AND BUSINESS REGISTRATIONS \* \* \*  
REPORTED BY THE SECRETARY OF STATE  
OR OTHER OFFICIAL SOURCE AS OF 12/05/2003  
\*\*\*\*\*



The following data is not an official record of the Department of State or the State of New York and Dun & Bradstreet is not an employee or agent thereof.

REGISTERED NAME: CLARIANT CORPORATION

CORPORATION TYPE: PROFIT  
BUSINESS TYPE: CORPORATION  
REGISTRATION ID #: 816264

FILING DATE: 01/17/1983  
DURATION: PERPETUAL

STATE OF ORGANIZATION (INCORPORATION): NEW YORK  
DATE OF ORGANIZATION (INCORPORATION) : 01/17/1983

STATUS: ACTIVE

WHERE FILED: SECRETARY OF STATE/CORPORATION DIVISION, ALBANY, NY

REGISTERED AGENT: C T CORPORATION SYSTEM, 111 EIGHTH AVENUE, NEW YORK,  
NY 10011

PRINCIPALS: REINHARD HANDTE, CHAIRMAN OF THE BOARD, ROTHHAUSSTRASSE 61;  
CH-4132, MUTTENZ 1, SWAZILAND

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On January 14, 2003 management confirmed the existence of this corporation. Dun & Bradstreet makes a regular search for corporate details and will provide the information as it becomes available.

Business started 1983. Present control succeeded Jun 1995. 100% of capital stock is owned by the parent company.

KENNETH GOLDER. Graduated from University of Massachusetts in 1978 and received a MBA from NorthEastern University in finance in 1982. 1983-90 plant manager with the ReedSpectrum Division. 1990-94 controller for Sandoz Chemicals Corporation, Charlotte, NC.

HEINER MEIER. Antecedents are undetermined.

WALTER FOWLKES. Antecedents are undetermined.

CHRISTOPHER BARNARD. Antecedents are undetermined.

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OPERATION

01/22/03

Foreign parent is Clariant Ag, Muttentz, Switzerland, started in 1995, which operates as a holding company. Reference is made to that report for background information on the parent and its management. The parent company owns 100% of capital stock of the business.

Operates as a manufacturer of industrial dyes and organic and inorganic industrial chemicals. The Master Batch Division manufactures custom color and additive concentrates for the plastic industry.

Terms are various, majority is net 30 days. Has 8,000+ account(s). Sells to the construction, pharmaceutical and agricultural industries. Sells to also to the textile, paper, semiconductor, plastics, leather, metal, ink, coatings, cosmetic, and household product industries. Territory : Worldwide.

Nonseasonal.

EMPLOYEES: 2,500 which includes officer(s). 300 employed here.

FACILITIES: Owns 240,000 sq. ft. in 1 and 2 story steel and masonry building.

LOCATION: Central business section on main street. This complex of masonry and steel structures houses the corporate offices and a laboratory.

BRANCHES: This business has multiple branches, detailed



branch/division information is available in Dun & Bradstreet's linkage or family tree products.

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GLOBAL ACTIVITY.

The following section is a global summary and is intended to assist D&Bs non-U.S. customers when evaluating D&B reports on U.S. companies.

.....  
IMPORT/EXPORT ACTIVITY.

No major countries of export reported.

.....  
Based on information in our file, D&B has assigned this company an extended 8 digit SIC. D&Bs use of 8 digit SICs enables us to be more specific to a company's operations than if we use the standard 4 digit code.

28690000.

.....  
GLOBAL NEWSWORTHY EVENTS.

(1202) ANNOUNCED SALE OF ASSET: According to published reports, Clariant Corporation, Charlotte, NC, has signed an agreement to sell its North American sodium hydrosulfite business to the Canadian firm, Chemtrade Logistics Inc of Ontario, at a price of \$62 million. The sodium hydrosulfite business is part of the Paper business unit within Clariant's Leather and Paper Chemicals division. The transaction, which still requires the approval of US and Canadian antitrust authorities, should be completed by the end of 2002.

SUBSIDIARIES: This business has 4 subsidiaries.

Clariant Life Science Molecules (florida) Inc, Gainesville, FL.  
Operates as manufacturer of organic chemicals.

Lancaster Synthesis Inc, Pelham, NH. Operates as Operates as a chemical distributor.

Clariant life Science Molecules Americas Inc, Elgin, SC.

Clariant Life Science Missouri Inc, Springfield, MO.

12-12(82R /186) 00000 484519731 040186186 NH

FULL DISPLAY COMPLETE

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\*IN DATE\*

DUNS: 17-932-8489  
CLARIANT CORPORATION  
+PIGMENTS DIVISION  
PIGMENTS DIVISION  
500 WASHINGTON ST  
COVENTRY RI 02816  
TEL: 401 823-2000

DATE PRINTED  
DEC 16 2003  
RATING  
BRANCH  
MFG INDUSTRIAL  
DYES & CHEMICALS &  
MASTERBATCH COLOR  
CONCENTRATES  
EMPLOYS 325  
SIC NOS.  
28 65 28 69 28 19  
36 99

BRANCH MANAGER: LEVON KASPERIAN

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\* \* \* CUSTOMER SERVICE \* \* \*

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If you have questions about this report, please call our Customer Resource Center at 1-800-234-3867 from anywhere within the U.S. If you are outside the U.S., contact your local D&B office.

\*\*\* Additional Decision Support Available \*\*\*

Additional D&B products, monitoring services and specialized investigations are available to help you evaluate this company or its industry. Call Dun & Bradstreet's Customer Resource Center at 1-800-234-3867 from anywhere within the U.S. or visit our website at [www.dnb.com](http://www.dnb.com).

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\* \* \* SUMMARY ANALYSIS \* \* \*

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RATING SUMMARY . . . .

The term "BRANCH" in the Rating field indicates that this company is a branch location. D&B Ratings do not appear on branch reports.

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\* \* \* PAYMENT SUMMARY \* \* \*

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The Payment Summary section reflects payment information in D&B's file as of the date of this report.

The PAYDEX for this company is 75.

This PAYDEX score indicates that payments to suppliers average 8 days beyond terms, weighted by dollar amounts. When dollar amounts are not considered, approximately 78% of the company's payments are within terms.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	TOTAL	LARGEST	%	DAYS SLOW
TOTAL	DOLLAR	HIGH	W/IN	



	RCV'D	AMOUNTS	CREDIT	TERMS	<31	30	61-90	91+
	#	\$	\$	%	%	%	%	%
Total in D&B's file	79	969,950	500,000					
Top 10 Industries:								
1 Trucking non-local	8	48,600	45,000	96	2	2	-	-
2 Mfg industrial gases	4	125,050	90,000	100	-	-	-	-
3 Nonclassified	4	60,500	50,000	100	-	-	-	-
4 Whol industrial suppl	4	13,250	10,000	91	9	-	-	-
5 Telephone communictns	3	55,100	55,000	-	-	-	-	100
6 Whol chemicals	2	500,100	500,000	100	-	-	-	-
7 Arrange cargo transpt	2	17,500	10,000	42	-	29	29	-
8 Whol plumb/hydrionics	2	8,000	7,500	3	97	-	-	-
9 Help supply service	1	45,000	45,000	100	-	-	-	-
10 Mfg non-elect heaters	1	7,500	7,500	50	50	-	-	-
11 OTHER INDUSTRIES	39	55,650	5,000	89	8	2	1	-

Other Payment Categories:

Cash experiences	0	0	0
Payment record unknown	8	33,700	25,000
Unfavorable comments	0	0	0
Placed for collection			
with D&B	0	0	
other	1	N/A	

The highest "Now Owes" on file is \$500,000

The highest "Past Due" on file is \$90,000

The payment experiences in this report relate specifically to this branch location. Please refer to the headquarters report if you would like consolidated trade information for the headquarters and its branches.

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PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

Antic - Anticipated (Payments received prior to date of invoice)  
Disc - Discounted (Payments received within trade discount period)  
Ppt - Prompt (Payments received within terms granted)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
12/03	Ppt	7500	-0-	-0-	N30	2-3 Mos
	Ppt	750	750			2-3 Mos
	Ppt	500	500			2-3 Mos
	Ppt	250	250			2-3 Mos
	Ppt	100	100			
	Slow 15	100	-0-	-0-	N30	4-5 Mos
	(007)	2500	2500			
11/03	Ppt	90000	20000	-0-	N10	1 Mo
	Ppt	35000	15000	-0-	N10	1 Mo
	Ppt	5000	-0-	-0-		4-5 Mos
	Ppt	2500	-0-	-0-		2-3 Mos
	Ppt	1000	-0-	-0-	N30	4-5 Mos
	Ppt	1000	750	-0-		1 Mo
	Ppt	750	-0-	-0-		4-5 Mos
	Ppt	250	-0-	-0-		6-12 Mos

	Ppt	250	-0-	-0-		6-12 Mos
	Ppt	100	-0-	-0-	N30	6-12 Mos
	Ppt	100	-0-	-0-		6-12 Mos
	Ppt-Slow 30	5000	-0-	-0-		2-3 Mos
	Ppt-Slow 30	1000	-0-	-0-		1 Mo
	Ppt-Slow 30	500	500	250	N30	1 Mo
	Ppt-Slow 30	500	-0-	-0-		6-12 Mos
	Slow 30	7500	2500	250		1 Mo
	Slow 30	500	-0-	-0-		6-12 Mos
	Slow 45	1000	250	250		6-12 Mos
	(026)	2500	-0-	-0-		6-12 Mos
	(027)	500	-0-	-0-		4-5 Mos
10/03	Ppt	50000	30000	-0-		1 Mo
	Ppt	45000	250	100		1 Mo
	Ppt	10000	10000	-0-		1 Mo
	Ppt	5000	1000	-0-	N30	1 Mo
	Ppt	2500	-0-	-0-		6-12 Mos
	Ppt	2500	-0-	-0-		1 Mo
	Ppt	2500	-0-	-0-		6-12 Mos
	Ppt	1000	500	-0-		1 Mo
	Lease agreement					
	Ppt	750	-0-	-0-	N30	6-12 Mos
	Ppt	250	-0-	-0-		1 Mo
	Ppt	250	250	-0-		1 Mo
	Ppt	50	50	-0-		1 Mo
	Ppt	50	50	-0-		1 Mo
	Ppt-Slow 30	500	-0-	-0-		2-3 Mos
	Slow 30	100	100	100		1 Mo
	Slow 120	55000	35000	25000		1 Mo
	(044)	100	100	-0-		
09/03	Ppt	250	-0-	-0-	N30	6-12 Mos
	Ppt	100	100	-0-		1 Mo
	Slow 60-90	10000	10000	7500		1 Mo
	(048)	25000	25000	1000		1 Mo
	(049)	2500	-0-	-0-	N30	1 Mo
	(050)	500	250	-0-	N30	1 Mo
08/03	Ppt	2500	-0-	-0-	N30	6-12 Mos
	Ppt-Slow 30	2500	2500	-0-		1 Mo
	Slow 5-30	250	-0-	-0-	N30	6-12 Mos
07/03	Ppt	500	500	-0-		1 Mo
	(055)	100	100	50		1 Mo
	Placed for collection.					
06/03	Ppt	10000	1000	-0-		1 Mo
	Ppt	2500	1000	-0-		1 Mo
	Ppt	1000	100	-0-		1 Mo
	Ppt	1000	-0-	-0-		6-12 Mos
	Slow 90	50	-0-	-0-		6-12 Mos
05/03	Ppt	1000	1000	-0-		1 Mo
	Ppt-Slow 30	2500	2500	500	1 10 N30	1 Mo
04/03	Ppt	45000	35000	-0-		1 Mo
	Ppt	5000	-0-	-0-	N30	4-5 Mos
	Ppt	250	50	50		1 Mo
	Ppt		-0-	-0-		4-5 Mos
	Ppt		-0-	-0-		1 Mo
	Slow 20		-0-	-0-		6-12 Mos
03/03	Ppt.	500000	500000	90000	N45	1 Mo
	(070)	100	-0-			6-12 Mos
02/03	Ppt.-Slow 30	7500	5000	-0-		1 Mo
	Ppt.-Slow 60	2500	-0-	-0-		1 Mo
01/03	Ppt:	500	-0-	-0-		6-12 Mos
12/02	Ppt:	750	-0-	-0-		6-12 Mos
10/02	Ppt:		-0-	-0-	N30	1 Mo
	Slow 90)	500	500	500		



\* Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

The following data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

COLLATERAL:	Equipment		
FILING NO:	677203	DATE FILED:	03/02/1998
TYPE:	Original	LATEST INFO RECEIVED:	04/08/1998
SEC. PARTY:	BRODIE, INC., LAWRENCE, MA	FILED WITH:	SECRETARY OF
DEBTOR:	CLARIANT CORPORATION		STATE/UCC DIVISION, RI

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

FULL DISPLAY COMPLETE



Peg Nelson

12/16/03 11:18 AM

To: Marianne Milette/R1/USEPA/US@EPA

CC:

Subject: Clariant, Coventry RI bits and pieces

in reverse chronologic order

\*\*

12/03

## **Clariant Appoints Market Segment Managers**

Clariant Pigment and Additives of Coventry, R.I., has named new market segment managers as part of its worldwide restructuring along market lines.

Employed by the company in sales and management positions for more than 33 years, **Mel Wilson** has been named market segment manager for resins and fibers.

**Angelo Sappio's** new role as market segment manager for processors will utilize his 26 years of experience in research and development and marketing with new responsibilities that include product development of marketing of colorants, additives and waxes for the PVC, thermosets, polyurethane and rubber industries.

**Martin John** joined Clariant in 1992 and has held positions in marketing in global sourcing, logistics and distribution. He brings more than 10 years of experience to his new role as market segment manager for the masterbatches and compounders component of the plastics industry.

**Wolfgang Schlecht** holds a degree in international business and brings more than 33 years of experience to his role. In his new position Schlecht manages the distribution of products from several suppliers of polymers, including polymer powders, high-density polyethylene and polypropylene resins.

Our new segment managers bring to our customers a combined experience of more than 100 years in the plastics



industries, said Michael T. Harris, marketing manager for the plastic industries, NAFTA. They know Clariant's product line and with a dedicated focus on key segments of the market, will be able to stay in very close touch with our customers and respond rapidly to their needs with complete solutions.

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### **Three promotions were announced in Clariant Pigments & Additives, Coventry, R.I. 10/03**

**Michael T. Harris** has been named marketing manager for the plastic industries. He has 18 years' experience in sales and marketing for the plastic and additive industries, eight of which were spent at Clariant, and he holds a B.S. in chemical engineering from the University of Mississippi, Oxford.

**Robert Post** has been appointed vice president of plastic and specialized industries. He brings more than 25 years' experience in fibers and commodity chemicals as well as strategic planning and quality management. He was previously site manager for Clariant's facility in Coventry, R.I., and he spent four years as vice president of the additives business.

**Timothy Sadow** has started as marketing manager for specialized industries. Based in Charlotte, N.C., he has worked as a sales representative at Clariant since 1998. Before that, he held management and sales positions at Akzo Nobel Chemicals and Verbatim Corp. Sadow holds a B.A. in chemistry from Assumption College, Wooster, Mass., and an M.B.A. from the University of North Carolina, Charlotte.

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**Clariant  
Corporation**  
Coventry  
Approval No. 1759  
Issued 7/16/03

Installation of an acid mist eliminator in series with an existing scrubber system (A-scrubber).

copy or permit at <http://www.state.ri.us/dem/programs/benviron/air/preperms/app1759.pdf>

\*\*\*

in 2002,

In October, Clariant added a sixth laboratory for its azo pigments technology section of the pigments and additives division. Clariant has also announced plans to expand capacity at its plant in Coventry, RI. Clariant will increase the output capabilities for high performance pigments, and specialty azo pigments.

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Providence Journal-Bulletin (Rhode Island)  
August 22, 2003, Friday All Editions

**HEADLINE: LEGAL NOTICES (4 OF 6)**

PERMIT NUMBER: RI0000132

NAME AND MAILING ADDRESS OF APPLICANT:

**Clariant Corporation**

500 Washington Street

**Coventry, Rhode Island 02816**

NAME AND ADDRESS OF THE FACILITY WHERE DISCHARGE OCCURS:

**Coventry Plant**

500 Washington Street

**Coventry, Rhode Island 02816**

RECEIVING WATER: Pawtuxet River - South Branch

RECEIVING WATER CLASSIFICATION: B1

Clariant's **Coventry Plant** is engaged in the manufacturing of organic chemicals, pigments, and dyes. Clariant also accepts and treats wastewater from DyStar L.P., a manufacturer of organic chemicals and dyes, and Rhodes Technologies, a manufacturer of pharmaceutical products and specialty chemicals. Process and sanitary wastewaters are discharged from the wastewater treatment facility (Outfall 001). Once-through non-contact cooling water, steam condensate, river water filter backwash, and storm water is discharged from the remaining outfalls. The current permit was issued on December 31, 2001. This public notice is for proposed modifications to the quantitation limit (QL) provisions and the color test method in the permit. The Department of Environmental Management has determined that these are appropriate provisions and test methods for compliance with the discharge limitations. In addition, changes to limits and monitoring requirements for Chromium, Copper, Lead, Nickel, Zinc, Cyanide and Silver, are due to DEM's assessment of metals sampling data submitted by Clariant that indicated no "reasonable potential" to cause or contribute to an excursion of applicable water quality criteria. No other modifications are proposed.

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Providence Journal-Bulletin (Rhode Island)  
September 18, 2001, Tuesday West Bay Edition

**HEADLINE: RESIDUE BUILDUP IGNITES, CAUSING FIRE AT CLARIANT**

**BODY:**

Residue that had built up in a filtering system in a **Clariant Corp.** building caught fire Sunday, but the blaze was quickly contained.

We saw smoke coming from a filter facility that filters the air, Chief Stanley Mruk, of the Anthony Fire District, said.

About 15 firefighters from his department and 10 from Hopkins Hill responded to an automatic alarm shortly before noon in the Azo building in the Clariant complex, at 500 Washington St.



The five-story building makes and dries pigments, Mruk said, and each floor has an air purification system with ductwork similar to that of air conditioning systems.

The purifiers pick up vapors and dust and funnel them into big air pipes. As material was drying Sunday, accumulated residue ignited and smoldered, Mruk said.

Firefighters removed inspection plates on each floor and flushed water through the ductwork, Mruk said.

Only about a half dozen people were working, Mruk said, and all left the building without incident when the alarm sounded. One firefighter felt nauseated, Mruk said, was checked at Kent County Memorial Hospital and then returned to duty later in the day.

A foul odor wafted from the building for a time, the chief said, but a west wind carried most of it to a wooded area.

**Coventry** police Sgt. Milton Baxter said his department received calls from residents alarmed by the smoke but, after checking, the police were able to assure the callers that there was no danger of any chemical contamination from the smoke and they could remain in their homes.

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Providence Journal-Bulletin (Rhode Island)  
July 8, 1999, Thursday, All EDITIONS

**HEADLINE: Coventry** firm wins tariff suspension Journal staff report

**Clariant Corp.** argues that the levy should be eliminated because there is no domestic industry producing the materials it imports.

Congress has approved legislation that will reduce by "hundreds of thousands of dollars" annually the tariffs the **Clariant Corp.** has to pay for the materials it uses to produce pigments at its **Coventry** plant.

Sen. John H. Chafee, who was instrumental in getting the tariff reduction through Congress, brought attention to it yesterday during a visit to the Clariant plant on Washington Street in **Coventry**.

Clariant imports from overseas the materials for the five pigments it produces. It argued that the tariff should be eliminated because there is no domestic industry producing the materials.

The suspension, which is good for six years, was one of dozens of duty suspensions included in the Miscellaneous Trade and Technical Corrections Act approved by the Senate on May 27, the House on June 7, and signed by President Clinton on June 25.

"The total price tag associated with these duties, while relatively small in the context of our federal budget, translates into a considerable business cost to the importing manufacturer," Chafee said in a statement. "This added cost hurts their ability to compete, and thus their ability to maintain their work force."

Despite the suspension of the tariff, Clariant earlier this year announced two rounds of layoffs, totaling 70 positions.

Clariant specializes in manufacturing pigments used in everything from inks, such as the yellow covers of National Geographic magazine, to plastics and cans, such as the red found on Coca-Cola containers.

Officials in Chafee's office yesterday could not say exactly how much Clariant has had to pay, but estimated it was hundreds of thousands of dollars a year. The suspension applies to Pigments Yellow 154 and Yellow 175, used for automotive coating, Pigment Red 185 and Red 187 for coloring plastics, and Pigment Red 208 used for color in industrial coatings.

An aide to Chafee yesterday said that the U.S. government may sometime in the future seek to permanently remove the tariff, but that would probably occur in international negotiations over trade issues, where the country can get something back in return.

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State of Rhode Island and

Providence Plantations  
Department of  
Environmental Management  
Office of Air Resources  
Notice of Public  
Comment Period

The Office of Air Resources (OAR) offers an opportunity for public comment regarding its proposal to enter into a consent agreement between **Clariant Corporation** (Clariant), 500 Washington Street, **Coventry, RI** and the OAR.

Clariant is subject to Air Pollution Control Regulation No. 15, entitled **Control of Organic Solvent Emissions**. Regulation No. 15 contains provisions requiring subject facilities to operate in compliance with Reasonably Available Control Technology (RACT). Facilities must submit a RACT plan specifying the control technologies to be implemented in order to achieve and maintain compliance with the regulation.

The OAR has developed a draft consent agreement based on the proposals contained in the RACT plan submitted by Clariant. In summary, Clariant proposed a combination of a control device and process modifications to limit volatile organic compound emissions from the operations performed at Clariant.

The public comment period will begin today and continue until 12 July 1999. A public hearing for interested persons to appear and submit written or oral comments on the consent agreement will be held if requested by 10 or more persons, or by a governmental subdivision or agency or by an association having not less than 10 members. Any interested person may request that a public hearing be held.

Written comments, to be considered part of the record, must be submitted during the public comment period. Written comments or requests for a hearing may be sent to the OAR at the address below until 4:00 pm, 12 July 1999, at which time the public comment period will close.

Copies of the consent agreement are available at the Office of Air Resources, 235 Promenade Street, Providence, RI from 8:30 am to 4:00 pm. For more information, contact Terry Tuchon, at the OAR, telephone number 401-222-2808 (toll free 1-800-752-8088, TCDD 401-222-6800).

Signed this 26th day of April, 1999.  
Stephen Majkut, Chief  
Office of Air Resources

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Providence Journal-Bulletin (Rhode Island)  
April 30, 1999, Friday, West Bay EDITION

**HEADLINE:** Layoffs prelude to plant expansion

**BYLINE:** CELESTE TARRICONE; Journal Staff Writer

**COVENTRY** **Clariant Corporation** and its subsidiary, Hoechst **Coventry Dyes**, have made their second announcement this year of layoffs here, but company officials say they plan to expand plant operations and to continue to be a major player in the town's industrial economy.

A total of 45 jobs from chemists and engineers to warehouse and human-resources positions will be eliminated over the next two years due to declining business conditions that show no signs of improving anytime soon, said Levon Kasparian, manager of the plant, at 500 Washington St.

The layoffs will reduce the plant's work force to 330 people. In February, Clariant announced that AZ Electronic Materials, another subsidiary, would close its research-and-development lab at the **Coventry** site by July 2000, eliminating 25 jobs.

The leaner work force at the plant will mean that employees' responsibilities will increase, Kasparian said, but the savings also will allow the company to expand its manufacturing operation, which makes pigments and chemicals for plastics, textiles, paints, and



household products.

Kasparian said this week that Clariant's U.S. headquarters, in Charlotte, N.C., recently announced plans for a \$ 1.4-million expansion at the **Coventry** plant, to produce an additional pigment product for plastics and fibers. That investment, he said, is contingent on consolidating plant operations. No timetable for the expansion project has been set.

Some town officials had expressed concern when a townwide revaluation completed last month showed that the property of Clariant, the community's largest commercial taxpayer, decreased in value by about \$ 20 million. Officials cited that as an example of how the town's industrial tax base may be shrinking.

We want to grow the site, and we want to be a larger part of the community, Kasparian said. To do that, we have to go through some initial steps that might be painful, but that will make the site more efficient.

We've carried some people for quite a while, hoping that business would change, but it doesn't look like it's going to, said Don Nester, manager for Hoechst **Coventry** Dyes.

Besides the **Coventry** operation, the company operates 25 plants in the United States and has offices in more than 60 countries.

Clariant's business has been declining since 1993, when the chemical and dye industries became much more competitive because companies from Asia and India began exporting to the U.S. at lower prices, Kasparian said.

To remain competitive, we're looking at every cost-saving measure we can, he said.

Town Council President Kevin D. McGee said he's confident that Clariant will continue to be a cornerstone of the town's industrial base.

We still consider them a major player, he said. I've seen them cut down more than they are now, and bounce back within a year. I hope they continue to grow.

Kasparian and Nester both said that they are not sure yet whether the addition of a new pigment to the production line will eventually create new jobs.

In the meantime, they said, the company will try to place laid-off workers in positions at other Clariant sites around the country. If no jobs are available, they said, the workers will receive a severance package and job-placement counseling.

Company officials have spent much of this week in contract negotiations with the plant employees' union, Teamsters Local 64. The current three-year contract expires June 18. Kasparian and Nester would not disclose details of proposals for a new contract.

**HEAVY MACHINERY:** Tony Carnevale, a supervisor in one of the chemical-processing buildings at Clariant, examines one of the rooms in which various solvent solutions are purified. Only the tops of the 1,000-gallon tanks are visible through the floor level.

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Providence Journal-Bulletin (Rhode Island)  
February 19, 1999, Friday, WEST BAY EDITION

**HEADLINE:** Clariant lab to close in mid-2000;

The decision does not effect Clariant's pigment factory, which employs 310 workers.

**BYLINE:** CELESTE TARRICONE; Journal Staff Writer

A subsidiary of **Clariant Corporation** will close its research-and-development lab here by July 2000, eliminating 25 jobs, Clariant announced yesterday.

AZ Electronic Materials, which processes raw materials for semiconductors, runs the lab in the Clariant complex, at 500 Washington St., where Clariant's pigment-manufacturing plant employs about 310 workers.



The lab deals only with semiconductor research, and its closing will have no effect on the plant operation, said Clariant spokeswoman Linda Krause.

AZ will beef up research labs at its primary semiconductor plant, in Wiesbaden, Germany, and at its main U.S. plant, in Branchburg, N.J., Krause said.

The 25 jobs to be eliminated include three management positions, about a dozen lab and engineering posts, and several maintenance and administrative jobs, she said.

Clariant will try to find jobs for those employees in the **Coventry** plant or at another of Clariant's 20 U.S. subsidiaries, said Krause.

If new assignments are unavailable or are not desired, severance packages will be provided, she said.

But, with nearly 18 months to prepare for the lab closing, Krause said, officials were confident they will be able to find jobs for the displaced employees.

"We're not the kind of company that tells you on Friday not to come in on Monday," she said. Most likely, she said, all the employees will be kept on the job until the closing date.

AZ Electronic Materials decided to close the **Coventry** operation four days ago, but had been considering the move for about two months, Krause said.

AZ decided to consolidate its operations because the quality standards for semiconductor products are getting higher, Krause said. The company was faced with either closing the **Coventry** lab or making a substantial investment to upgrade it, she said.

Instead, AZ officials decided it would make more sense to pour its investment into the New Jersey and Germany plants, which are better-equipped for both research and production, Krause said.

Krause said she did not know how much money AZ will save by closing the **Coventry** operation.

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Providence Journal-Bulletin (Rhode Island)  
November 19, 1998, Thursday, WEST BAY EDITION

**HEADLINE:** Clariant working to fix problems in alert system

**BYLINE:** CELESTE TARRICONE; Journal Staff Writer

After a minor chemical spill last month at the **Clariant Corp.** plant raised concerns about the company's community-notification system, the company is making several improvements to its alert network.

On Oct. 30, liquid sodium nitrate, a common ingredient in pigments, was pumped into a container at the wrong rate, triggering the release of nitrous oxide fumes in the plant, said Levon Kasparian, Clariant's plant manager.

Because those fumes are a respiratory irritant, Clariant warned about 850 nearby residents to stay indoors until the spill was contained, Kasparian said.

The alert network is used to notify, depending on the scope of the emergency, at least some of the roughly 1,800 households within 1,500 feet of the plant of any chemical spills or accidents that pose a health risk.

Clariant has a contract with Community Alert Network, a company based in Albany, N.Y., to place the phone calls using an automated dialing system, Kasparian said.

The fumes were confined to the plant, and no health problems were reported among employees. But the incident exposed some problems with the notification system. Several residents said they received no call, while others said they got only a second "all

clear" call but no warning call, said Anthony Fire Chief Stanley Mruk, the town's emergency management director.

Clariant is still investigating what went wrong, Kasparian said. It's possible, though, that some people were not home to answer their phones, were on another call or were not on the company's phone list because they moved into the neighborhood recently.

Clariant has sent letters to the roughly 1,800 households registered in the network, asking them to report any problems they've had with the system and to update their addresses and phone numbers, said John Paul, the company's environmental-safety and health manager.

Responses are still coming in, Paul said, so the company doesn't know exactly how many people experienced problems with the notification system. "We think the system works, but if the community thinks we should do something different, we'll evaluate that," he said.

Today, the company's Community Advisory Panel, which includes neighboring residents and company officials, will meet to discuss ways to improve the system, Kasparian said.

Clariant has also met with Chief Mruk, Town Manager Francis A. Frobeld and Police Chief Roger Laliberte to talk about last month's incident. Mruk and Frobeld said they are satisfied with the company's efforts to iron out problems in the notification system.

"The company's got to do some work fine-tuning their program, but they're aware of that, and they're working on it," Frobeld said.

One change the town and Clariant are discussing is operating a low-power local AM radio station that would be used to broadcast frequent updates during an emergency, Mruk said.

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Providence Journal-Bulletin (Rhode Island)  
September 18, 1998, Friday, WEST BAY EDITION

**HEADLINE:** U.S. penalty on Hoechst is windfall for town

**BYLINE:** CELESTE TARRICONE; Journal Staff Writer

The U.S. Environmental Protection Agency and the former Hoechst Celanese Corp. have settled on penalties for hazardous-waste violations the EPA found in 1997 at the company's since-closed pharmaceuticals plant.

Because Hoechst Celanese merged with **Clariant Corp.** in July 1997, the affiliated companies will pay \$ 16,542 in fines to the EPA and donate \$ 62,500 worth of equipment to the Community Emergency Response Team they launched in May with fire departments from **Coventry**, Scituate and West Warwick.

The settlement is part of an EPA program that allows errant companies to make donations to local emergency-response teams in lieu of fines.

The violations were discovered during a February 1997 inspection of the now-defunct Hoechst Celanese plant at 500 Washington St..

Investigators found materials stored in damaged containers, hazardous waste had been stored there beyond a 90-day federal limit, and materials that were incorrectly labeled, said Amelia Katzen, a lawyer for EPA. They also discovered that the company had not documented some of its weekly safety inspections.

Hoechst/Clariant is now operating in a new plant in the same complex. The old plant was sold to Napp Technologies, a New Jersey-based chemical company, which is starting operations there this month.

The EPA had originally proposed \$ 127,199 in fines. But it lowered the amount because it had been based on incorrect information provided by the company during the inspection, Katzen said. Hoechst Celanese later produced records that proved it had not committed some of the violations EPA initially cited, she said.

The violations were corrected at the plant a few weeks after the February 1997 inspection and before Hoechst Celanese merged with

Clariant, said Levon Casparian, Clariant's plant manager. "Ninety-nine percent of what we were doing, we were doing right. It was just some minor areas," he said about the violations.

Since the merger, Hoechst/Clariant has conducted weekly inspections at its new plant, to make sure all safety procedures are followed, Casparian said.

Hoechst/Clariant ordered the new equipment for the emergency-response team this week, Casparian said. The company purchased medical equipment, a gas meter, a UHF radio system, chemical-resistant suits and laptop computers, he said.

In May, Hoechst/Clariant announced a \$ 63,000 donation to the emergency-response team. That money was used to train the 40 firefighters on the team how to handle chemical spills and other emergencies in confined spaces

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Peg Nelson

12/16/03 11:29 AM

To: Marianne Milette/R1/USEPA/US@EPA

cc:

Subject: ps a news article on Clariant

full article at: [http://www.scs-mag.com/reader/1998\\_06/vio0698.htm](http://www.scs-mag.com/reader/1998_06/vio0698.htm)  
extract follows:

JUNE 1998



**Vertical Industry**  
OVERVIEW



## **ADC Use** in the **Chemical Industry**

*Companies are beginning to reap cost savings and operational efficiencies by automating distribution.*

Deb Navas, Editor at Large

**T**he chemical industry-encompassing the manufacture and distribution of such diverse substances as oil, gas, fertilizer, adhesives, drugs, dyes, and a host of industrial additives—of all big business is possibly the most regulated and least automated in terms of information technologies. Though accurate data is critical to documenting regulatory compliance measures, monitoring production processes, and tracking hazardous materials, chemical companies have been slow to reap the benefits of automated data collection (ADC) technologies such as bar coding and electronic data interchange (EDI). Recently, however, the pace has been picking up.

A year ago, *ID Systems* highlighted two Hoechst Celanese chemical manufacturing sites that were just beginning to use bar code-based input to track tank gauging

processes, fugitive emissions, and hazardous waste drums at shipping.



Shortly after the article's publication, we heard from another Hoechst Celanese plant; based in Coventry, Rhode Island, it manufactures dye pigments and additives. The Coventry plant has moved far ahead of its peers in automated data collection utilization at the distribution end. Consequently, over the last several years, its 100,000-square-foot distribution center has doubled its productivity and cut millions of dollars in operational costs, using bar code and radio frequency (RF)-based communications.

#### **RF-Based Distribution**

"We currently process raw material receipts, material moves, and material consumption and soon will report finished goods production using RF ADC," wrote Ernie Staltare, a production information specialist for the Coventry distribution center (CDC). "We're transmitting transactions from the office to the

warehouse and production floors as they happen," Mr. Staltare's message continued. "Our offsite warehouse has been a leader in the use of RF ADC. The facility is the first RF-based Hoechst Celanese Corp. warehouse. Each forklift, turret, and shipper's cart is equipped with Norand RF units and PSC scanners. All locations within the warehouse are bar coded, including soft-side trailers that are used for interplant shipments from production to the CDC. We use Zebra thermal transfer printers to produce all product and shipping labels. Over \$100,000 per year was saved by doing away with expensive preprinted labels. While losing resources due to downsizing, the CDC has utilized RF ADC to increase productivity and reduce operational costs significantly."

After Mr. Staltare wrote us, the CDC changed ownership, though the original management team, John Bowen and George Gallagher, has remained intact. Last



summer the Specialty Chemicals Group of Hoechst AG (Hoechst Celanese's parent company, based in Germany) merged with Clariant Ltd. of Muttens, Switzerland, to form one of the largest specialty chemical companies in the world. Hoechst's Coventry, Rhode Island, plant and distribution center consequently joined with 21 other U.S.-based sites belonging to Clariant Ltd.'s North American subsidiary, Clariant Corp., headquartered in Charlotte, North

Carolina.

At the time of the sale, CDC personnel were in the process of installing a full warehouse management system (WMS) that would take them to the next level in RF ADC. That project has been put on hold, while the plant and distribution center migrate over to the new Clariant AS/400 platform and Business Planning and Controls Systems (BPCS) corporate software. However, the CDC's current RF-based system and upgrade-in-progress present a first-rate working model of both the benefits and the challenges facing chemical plants and distribution facilities making the transition from older, nonintegrated legacy systems to the highly efficient, cutting-edge, enterprise-wide networks enabled by ADC technologies